
**Information technology — Open
Connectivity Foundation (OCF)
Specification —**

**Part 13:
Onboarding tool specification**

*Technologies de l'information — Specification de la Fondation pour la
connectivité ouverte (Fondation OCF) —*

Partie 13: Spécification des outils d'intégration

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted (see www.iso.org/directives or www.iec.ch/members_experts/refdocs).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents) or the IEC list of patent declarations received (see patents.iec.ch).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html. In the IEC, see www.iec.ch/understanding-standards.

This document was prepared by the Open Connectivity Foundation (OCF) (as OCF Onboarding Tool Specification, version 2.2.0) and drafted in accordance with its editorial rules. It was adopted, under the JTC 1 PAS procedure, by Joint Technical Committee ISO/IEC JTC 1, *Information technology*.

A list of all parts in the ISO/IEC 30118 series can be found on the ISO and IEC websites.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iec.ch/national-committees.

Introduction

This document, and all the other parts associated with this document, were developed in response to worldwide demand for smart home focused Internet of Things (IoT) devices, such as appliances, door locks, security cameras, sensors, and actuators; these to be modelled and securely controlled, locally and remotely, over an IP network.

While some inter-device communication existed, no universal language had been developed for the IoT. Device makers instead had to choose between disparate frameworks, limiting their market share, or developing across multiple ecosystems, increasing their costs. The burden then falls on end users to determine whether the products they want are compatible with the ecosystem they bought into, or find ways to integrate their devices into their network, and try to solve interoperability issues on their own.

In addition to the smart home, IoT deployments in commercial environments are hampered by a lack of security. This issue can be avoided by having a secure IoT communication framework, which this standard solves.

The goal of these documents is then to connect the next 25 billion devices for the IoT, providing secure and reliable device discovery and connectivity across multiple OSs and platforms. There are multiple proposals and forums driving different approaches, but no single solution addresses the majority of key requirements. This document and the associated parts enable industry consolidation around a common, secure, interoperable approach.

ISO/IEC 30118 consists of eighteen parts, under the general title Information technology — Open Connectivity Foundation (OCF) Specification. The parts fall into logical groupings as described herein:

- Core framework
 - Part 1: Core Specification
 - Part 2: Security Specification
 - Part 13: Onboarding Tool Specification
- Bridging framework and bridges
 - Part 3: Bridging Specification
 - Part 6: Resource to Alljoyn Interface Mapping Specification
 - Part 8: OCF Resource to oneM2M Resource Mapping Specification
 - Part 14: OCF Resource to BLE Mapping Specification
 - Part 15: OCF Resource to EnOcean Mapping Specification
 - Part 16: OCF Resource to UPlus Mapping Specification
 - Part 17: OCF Resource to Zigbee Cluster Mapping Specification
 - Part 18: OCF Resource to Z-Wave Mapping Specification
- Resource and Device models
 - Part 4: Resource Type Specification
 - Part 5: Device Specification

- Core framework extensions
 - Part 7: Wi-Fi Easy Setup Specification
 - Part 9: Core Optional Specification
- OCF Cloud
 - Part 10: Cloud API for Cloud Services Specification
 - Part 11: Device to Cloud Services Specification
 - Part 12: Cloud Security Specification

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Information technology — Open Connectivity Foundation (OCF) Specification —

Part 13: Onboarding tool specification

1 Scope

This document defines mechanisms supported by an OCF Onboarding Tool (OBT). This document contains security normative content for the OBT and may contain informative content related to the OCF base or OCF Security Specification other OCF documents.

2 Normative References

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 30118-1, *Information technology — Open Connectivity Foundation (OCF) Specification – Part 1: Core specification*
<https://www.iso.org/standard/53238.html>

ISO/IEC 30118-2, *Information technology — Open Connectivity Foundation (OCF) Specification – Part 2: Security specification*
<https://www.iso.org/standard/74239.html>

NIST Special Publication 800-90A Revision 1 - Recommendation for Random Number Generation Using Deterministic Random Bit Generators
<https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-90Ar1.pdf>

3 Terms, definitions and abbreviated terms

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 30118-1, ISO/IEC 30118-2 and [1] apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

3.2 Symbols and abbreviated terms

For the purposes of this document, the symbols and abbreviated terms given in ISO/IEC 30118-1, ISO/IEC 30118-2 and [1] apply.

4 Document Conventions and Organization

4.1 Conventions

In this document a number of terms, conditions, mechanisms, sequences, parameters, events, states, or similar terms are printed with the first letter of each word in uppercase and the rest lowercase (e.g., Network Architecture). Any lowercase uses of these words have the normal technical English meaning.

In this document, to be consistent with the IETF usages for RESTful operations, the RESTful operation words CRUDN, CREATE, RETRIEVE, UPDATE, DELETE, and NOTIFY will have all letters capitalized. Any lowercase uses of these words have the normal technical English meaning.

4.2 Notation

In this document, features are described as required, recommended, allowed or DEPRECATED as follows:

Required (or shall or mandatory)(M).

- These basic features shall be implemented to comply with Core Architecture. The phrases "shall not", and "PROHIBITED" indicate behaviour that is prohibited, i.e. that if performed means the implementation is not in compliance.

Recommended (or should)(S).

- These features add functionality supported by Core Architecture and should be implemented. Recommended features take advantage of the capabilities Core Architecture, usually without imposing major increase of complexity. Notice that for compliance testing, if a recommended feature is implemented, it shall meet the specified requirements to be in compliance with these guidelines. Some recommended features could become requirements in the future. The phrase "should not" indicates behaviour that is permitted but not recommended.

Allowed (may or allowed)(O).

- These features are neither required nor recommended by Core Architecture, but if the feature is implemented, it shall meet the specified requirements to be in compliance with these guidelines.

DEPRECATED.

- Although these features are still described in this document, they should not be implemented except for backward compatibility. The occurrence of a deprecated feature during operation of an implementation compliant with the current document has no effect on the implementation's operation and does not produce any error conditions. Backward compatibility may require that a feature is implemented, and functions as specified but it shall never be used by implementations compliant with this document.

Conditionally allowed (CA).

- The definition or behaviour depends on a condition. If the specified condition is met, then the definition or behaviour is allowed, otherwise it is not allowed.

Conditionally required (CR).

- The definition or behaviour depends on a condition. If the specified condition is met, then the definition or behaviour is required. Otherwise, the definition or behaviour is allowed as default unless specifically defined as not allowed.

Strings that are to be taken literally are enclosed in "double quotes".

Words that are emphasized are printed in italic.

In all of the Property and Resource definition tables that are included throughout this document the "Mandatory" column indicates that the item detailed is mandatory to implement; the mandating of inclusion of the item in a Resource Payload associated with a CRUDN action is dependent on the applicable schema for that action.

4.3 Data types

Resources are defined using data types derived from JSON values as defined in clause 4.3 in ISO/IEC 30118-1.

5 Services and availability in the OBT

5.1 Purpose of the OBT

The purpose of an OBT is to provide the foundation of trust for an OCF Security Domain. An OBT is an OCF Device which can provide a variety of functions. The OBT functions fall into two main categories: establishing ownership of Devices being added to the OCF Security Domain; and provisioning of Devices in the OCF Security Domain. The intent is that a single OBT can provide all these functions, but there is no prohibition against these functions being distributed across multiple OBTs.

OCF Security Domain is associated with its UUID, determined by an OBT. The OBT is responsible for maintaining the OCF Security Domain UUID, and provisions the same value to each Device that is part of the same OCF Security Domain.

The term (OCF) Onboarding refers to the initial establishment of ownership over a Device, and initial provisioning of the Device for normal operation (see clause 5.3 of ISO/IEC 30118-2). A Device can be reset to enable subsequent Onboarding of the Device, for example following a subsequent sale to another person. A Device can also be further provisioned without repeating the entire Onboarding process.

The following OBT functions are specified:

- A Device Ownership Transfer Service (DOTS) establishes ownership of Devices being added to the OCF Security Domain. This function is described in clause 5.3.
- A Credential Management Service (CMS) manages the credentials and Roles of Devices in the OCF Security Domain. This function is described in clause 5.4.
- An Access Management Service (AMS) manages the access of Devices in the OCF Security Domain. This function is described in clause 5.5.
- Optional: A Mediator facilitates further configuration of Devices in the OCF Security Domain for various purposes including Wi-Fi configuration (see [2]) and OCF Cloud access (see [3]).

The OBT demands a higher level of security hardening than regular OCF Devices in order to preserve integrity and confidentiality of sensitive credentials being stored.

As mentioned, to accommodate a scalable and modular design, these functions are considered as services that could be deployed on separate Devices. Currently, the deployment assumes that these services are all deployed as part of an OBT. Regardless of physical deployment scenario, the same security-hardening requirement applies to any physical server that hosts the services discussed here.

The Device Onboarding States are defined in clause 8 of ISO/IEC 30118-2. Table 1 provides an overview of the access granted to the OBT components according to the Device Onboarding States.

Table 1 – Overview of OBT access in Device Onboarding States

Device Onboarding State	Description		Applicable Resources & Access	Entity Authorized to READ/WRITE	Purpose	"/oic/sec/doxm:owned"
RESET	Full reset of OCF Device to manufacturer default.		No Access	No Access	Remove info in SVRs.	FALSE
RFOTM	Ready for Ownership Transfer Mechanism.	Prior to successful OTM	"/oic/sec/doxm" (R: all, W: oxmsel)	Any	R: Determine supported OTMs W: Select an OTM	FALSE
		After successful OTM	"/oic/sec/doxm" (RW) "/oic/sec/cred" (RW)	DOTS	Claim ownership. Establish credentials for authenticating DOTS, AMS, CMS & optionally other Devices	
			(At discretion of End User of DOTS) "/oic/sec/sp" (RW)	DOTS	R: Determine supported Security Profiles. W: Set current security profile.	
			(At discretion of End User of DOTS) "/oic/sec/acl2" (RW)	DOTS	Configure further ACEs	
			"/oic/sec/pstat" (RW)	DOTS	Transition to RFPRO or RESET	
RFPRO	Ready for Provisioning.		"/oic/sec/cred" (RW)	CMS or matching ACE	Establish credentials for authenticating Devices in normal operation, including Roles	TRUE
			"/oic/sec/acl2" (RW)	AMS or matching ACE	Establish ACEs for normal operation	
			"/oic/sec/sp" (RW)	DOTS or matching ACE	R: Determine supported Security Profiles. W: Set current security profile	
			"/oic/sec/pstat" (RW)	DOTS, CMS, AMS or matching ACE	Transition to RFNOP	
RFNOP	Ready for Normal Operation.	"/oic/sec/pstat"	DOTS, CMS, AMS or matching ACE	Transition to RFPRO, SRESET or RESET	TRUE	
		Vertical Resources	Matching ACE	Normal Operation		
SRESET	Soft RESET.	"/oic/sec/cred" (RW)	CMS	Corrections as needed	TRUE	
		"/oic/sec/acl2" (RW)	AMS	Corrections as needed		
		"/oic/sec/doxm" (RW)	DOTS	Corrections as needed		
		"/oic/sec/pstat" (RW)	DOTS, CMS or AMS	Transition to RFPRO or RESET		

5.2 General OBT requirements

An OBT shall be hosted on an OCF Device.

An OBT shall host at least one of a DOTS, AMS and CMS.

All DOTS, AMS and CMS shall be hosted on an OBT.

An OBT may change the Device state of a Device by updating "s" field in the "dos" Property object of the "/oic/sec/pstat" Resource to the desired value. The allowed Device state transitions are defined in 13.8 of ISO/IEC 30118-2.

After successful OTM, but before placing the newly-onboarded Device in RFNOP, the OBT shall remove all SVR entries in the "resources" array for ACEs where the Subject is "anon-clear" or "auth-crypt".

The OBT should support all mandatory and optional cipher suites in clauses 11.3.3 and 11.3.4 of ISO/IEC 30118-2.

5.3 DOTS

5.3.1 Assuming ownership of a Device

The DOTS shall support all OTMs in clause 7.

An overview is provided in clauses 5.3.3 and 7.2 of ISO/IEC 30118-2.

The following steps shall be performed to take ownership of a Device. The Device is presumed to be in RFOTM.

- 1) The DOTS performs a multicast RETRIEVE on the "/oic/sec/doxm" Resource using "owned=false" query parameter as described in ISO/IEC 30118-2.
- 2) Before proceeding, the DOTS shall obtain acknowledgement from the OBT End User that the OBT End User approves the DOTS assuming ownership of the discovered Device(s). See security considerations in clause 5.3.3.
- 3) The DOTS selects a mutually supported OTM from the "oxms" Property of the "/oic/sec/doxm" Resource. See security considerations in clause 5.3.3.
- 4) The DOTS shall UPDATE the "oxmsel" Property of "/oic/sec/doxm" the value corresponding to the OTM being used, before performing other OTM steps.
- 5) The DOTS shall initiate a DTLS Session as specified for the OTM configured to the oxmsel Property of the "/oic/sec/doxm" Resource. Details are provided in clause 7.
- 6) The DOTS shall send an UPDATE request message to "/oic/sec/pstat" to set the value of "om" to 0b 0000 0100 to select Client-directed provisioning.
- 7) The DOTS shall UPDATE the "devowneruuid" Property of the "/oic/sec/doxm" Resource with the UUID of the DOTS.
- 8) The DOTS may RETRIEVE the updated "deviceuuid" Property of the "/oic/sec/doxm" Resource after the DOTS has updated the "devowneruuid" Property value of the "/oic/sec/doxm" Resource to a non-nil-UUID value.
- 9) The DOTS shall UPDATE the "deviceuuid" of the "/oic/sec/doxm" Resource. The updated value shall be a value that the DOTS has generated. The DOTS should use a NIST SP-800-90A-compliant RNG to guarantee sufficient entropy.

10) The DOTS shall provision the ownership credential as follows:

- a) The DOTS shall generate a Shared Key using the SharedKey Credential Calculation method described in clause 7.3.2 of ISO/IEC 30118-2.
- b) The DOTS shall add an entry to the "creds" array to the new Device's "/oic/sec/cred" Resource, identified as a symmetric pair-wise key, with an empty "privatedata" Properties, and with the value of the "subjectuuid" Property set to the value of "devowneruuid" Property of the "/oic/sec/doxm" Resource. See clause 13.3.1 of ISO/IEC 30118-2 for details of such a request.
- c) Upon receipt of the DOTS's symmetric Owner Credential, the new Device independently generates the Shared Key using the SharedKey Credential Calculation method described in clause 7.3.2 of ISO/IEC 30118-2 and stores it with the Owner Credential.

11) The following steps are applied subsequent to successful establishment of Owner Credential, and prior to transitioning to RFPRO. These steps may occur in any order.

- The DOTS shall update the "rowneruuid" Property of the "/oic/sec/doxm" Resource with the UUID of the DOTS. The DOTS shall only do so, if the OCF Device, which hosts DOTS has "oic.d.dots" value in "rt" Property of its "oic/d" Resource. The DOTS shall expose "oic.d.dots" value in "rt" Property of its "/oic/d" Resource.
- The DOTS shall update the "rowneruuid" Property of the "/oic/sec/pstat" Resource with the UUID of the DOTS. The DOTS shall only do so, if the OCF Device, which hosts DOTS has "oic.d.dots" value in "rt" Property of its "oic/d" Resource. The DOTS shall expose "oic.d.dots" value in "rt" Property of its "/oic/d" Resource.
- The DOTS shall update the "rowneruuid" Property of the "/oic/sec/cred" Resource with the UUID of the CMS. The DOTS shall only do so, if the OCF Device, which hosts DOTS has "oic.d.dots" value in "rt" Property of its "oic/d" Resource. The DOTS shall expose "oic.d.dots" value in "rt" Property of its "/oic/d" Resource.
- The DOTS shall update the "rowneruuid" Property of the "/oic/sec/acl2" Resource with the UUID of the AMS. The DOTS shall only do so, if the OCF Device, which hosts AMS has "oic.d.ams" value in "rt" Property of its "oic/d" Resource. The AMS shall expose "oic.d.ams" value in "rt" Property of its "/oic/d" Resource.
- The DOTS shall update the "owned" Property of the "/oic/sec/doxm" Resource with value "true".
- The DOTS shall provision the "/oic/sec/cred" Resource with credentials that enable secure connections between OCF Services (e.g. DOTS, CMS, AMS, Mediator) and the new Device. The DOTS shall provision credentials according to the supported credential types shown in the "sct" Property of the "/oic/sec/doxm" Resource.
- The DOTS may UPDATE the "/oic/sec/acl2" Resource with ACEs and may UPDATE the "/oic/sec/cred" Resource with further credentials.
- If the provisioned Device exposes "/oic/sec/sdi" Resource, then an OBT hosting DOTS shall:
 - Provision "uuid" Property of "/oic/sec/sdi" Resource with OCF Security Domain UUID. If the OCF Security Domain UUID has not been derived yet, the DOTS shall generate the UUID value randomly. DOTS shall use the same UUID value when Onboarding a Device into the same OCF Security Domain.
 - Provision "name" Property of "/oic/sec/sdi" Resource with a human readable name, received from an OCF Security Domain Owner. The DOTS should implement a user interface to receive this information, when a new OCF Security Domain is being created. If no user interface is implemented the DOTS should provision a copy of the "/oic/d:n" of the DOTS.

- Provision "priv" Property of "/oic/sec/sdi" Resource with the value selected by the OCF Security Domain Owner or preconfigured by the manufacturer. The DOTS should implement a user interface to receive this information.

NOTE: When the Device is an OCF v1.3 Device, the DOTS is expected to send an UPDATE request to /oic/sec/doxm to change the value of "owned" to true.

12) To transition the Device to RFPRO, the DOTS sends an UPDATE request changing the "dos.s" Property of the "oic/sec/pstat" Resource to RFPRO.

5.3.2 DOTS and Bridging

Bridge Platforms, their Bridge and VOD components are specified in [1]. Bridges and VODs are individually onboarded to an OCF Security Domain. Unowned VODs on a Bridge Platform are not discoverable while the Bridge on that Bridge Platform is Unowned. In other words, the VODs can only be onboarded while the Bridge is Owned. The implication is that the DOTS onboard the Bridge first, and then onboard the VODs. For details, see [1].

5.3.3 Security considerations regarding selecting an Ownership Transfer Method

A DOTS and/or DOTS operator might have strict requirements for the list of OTMs that are acceptable when transferring ownership of a new Device. Some of the factors to be considered when determining those requirements are:

- The security considerations described for each of the OTMs.
- The probability that a man-in-the-middle attacker might be present in the environment used to perform the ownership transfer.

For example, the operator of a DOTS might require that all of the Devices being onboarded support either the Random PIN based OTM or the Manufacturer Certificate based OTM.

5.4 CMS

An introduction to the credential management is provided in clause 5.4.3 of ISO/IEC 30118-2.

The credential types are specified in clause 9.3 of ISO/IEC 30118-2.

The supported credential types with which the Device can be provisioned are provided in the "sct" Property of the "/oic/sec/doxm" Resource. The CMS shall provision credentials according to the credential types supported.

NOTE: The value of "sct" has no correlation to supported OTMs.

The CMS shall support adding certificate entries ("credtype" value of "8") to the "creds" Property to the "/oic/sec/cred" Resource as defined in clause 13.3 of ISO/IEC 30118-2. The CMS shall support removing entries from the "creds" Property to the "/oic/sec/cred" Resource as defined in clause 13.3 of ISO/IEC 30118-2. The CMS may support changing existing entries in the "creds" Property to the "/oic/sec/cred" Resource as defined in 13.3 of ISO/IEC 30118-2.

Certificate provisioning of local Credentials is described in clause 9.4.5 of ISO/IEC 30118-2. The following points are pertinent to the CMS

- The CMS has its own CA certificate and key pair. The certificate is either a) self-signed if it acts as Root CA or b) signed by the upper CA in its trust hierarchy if it acts as Sub CA. In either case, the certificate has the format described in clause 9.4.2 of ISO/IEC 30118-2.
- The CMS shall support issuing an identity certificate for the Device as described in clause 6.1.

- The CMS shall support issuing role certificates as described in clause 6.1.
- When issuing a role certificate or an identity certificate, the CMS shall include a string of format "uuid:X" in the Common Name component of the Subject Name of the issued certificate, where X is provisioned to match the "deviceuuid" Property of the "/oic/sec/doxm" Resource.
- The CMS shall support provisioning a Trust Anchor as described in clause 6.2.

CRL provisioning is specified in clause 9.4.6 of ISO/IEC 30118-2, using the "/oic/sec/crl" Resource specified in clause 13.4 of ISO/IEC 30118-2. The issuing CMS issues the certificate revocation lists for certificates it issues. If a certificate private key is compromised, the CMS revokes the certificate. If CRLs are used by a Device, the CMS is expected to regularly (for example; every 3 months) update the "/oic/sec/crl" Resource for the Devices it manages.

An introduction to Role Management is provided in clause 5.4.3 of ISO/IEC 30118-2.

5.5 AMS

The AMS shall support adding entries to the "aclist2" Property of the "/oic/sec/acl2" Resource as defined in clause 13.5 of ISO/IEC 30118-2.

The AMS shall support removing existing entries in the "aclist2" Property of the "/oic/sec/acl2" Resource as defined in clause 13.5 of ISO/IEC 30118-2.

The AMS may support changing existing entries in the "aclist2" Property of the "/oic/sec/acl2" Resource as defined in 13.5 of ISO/IEC 30118-2.

The AMS should support other operations as defined in clause 13.5 of ISO/IEC 30118-2.

Clause 6.2 of [3] provides normative requirements on the AMS when configuring ACE entries of a Device which supports OCF Cloud.

The AMS determines an appropriate ACL configuration for each Server based on the rules for ACL evaluation and enforcement at Servers specified in clause 12 of ISO/IEC 30118-2. The formatting of the ACL Resource specified in clause 13.5 of ISO/IEC 30118-2.

To support homogenous behaviour across OCF ecosystem, AMS can provision explicit ACL entries to legacy Devices based on the value of "icv" Property of "/oic/d" Resource, so that they recognize default "oic.role.**" Roles added in later releases. Table 2 enumerates the list of Roles and their access policies to provision per each version.

Table 2 – ACL entries to provision for role usage uniformity

Version	Role	Access Policy: Permission	Access Policy: Resource	Description
"2.4.0" and prior	"oic.role.owner"	-RU--	All SVRs	Grant right to perform all supported operations on all supported SVRs

6 Certificate management requirements

6.1 Issuing identity certificates and role certificates

A CMS shall perform the following steps to issue an identity certificate or role certificate to a Device.

- 1) If the Device has the "/oic/sec/csr" Resource, then
 - a) The CMS shall send a RETRIEVE request to the "/oic/sec/csr" Resource on the Device, to obtain a certificate signing request for which the CMS will create a certificate.